

4/14/04

Docket No. 8092/SYNX/JB

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Enghardt  
Title: OVERHEAD TRANSFER FLANGE AND SUPPORT FOR SUSPENDING A SUBSTRATE CARRIER  
Serial No.: 10/764,820  
Filed: January 26, 2004

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with five cited references.

☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

**PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O.BOX 450A  
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV403024951US

Date of Deposit: 4/13/04

Signature: [Signature]

Respectfully submitted,

[Signature]  
Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081

Express Mail Label No. EV403024951US

PATENTS  
8092/SYNX/JB



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Martin R. Elliott, Robert  
B. Lowrance, Jeffrey C. Hudgens and Eric A.  
Englhardt

Serial No. : 10/764,820

Filed : January 26, 2004

For : OVERHEAD TRANSFER FLANGE AND SUPPORT FOR  
SUSPENDING A SUBSTRATE CARRIER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 5,261,935, Ishii et al.

U.S. Patent No. 5,827,118, Johnson et al.

U.S. Patent No. 5,829,939, Iwai et al.


U.S. Patent No. 6,054,181, Nanbu et al.

Foreign Art Reference No. EP 0 582 019 A1 (EPO)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

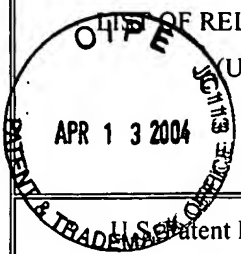
Respectfully Submitted,



Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated:

4/13/04  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office  <div style="display: flex; align-items: center;">  <div>             OFFICE OF RELEVANT ART CITED BY APPLICANT              (Use several sheets if necessary)           </div> </div>				Docket No.: 8092/SYNX/JB		Serial No.: 10/764,820	
				Applicants: Michael R. Rice, et al.			
				Filing Date: January 26, 2004		Group:	

U.S. Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	5,261,935	Ishii et al.				
	US-2	5,827,118	Johnson et al.				
	US-3	5,829,939	Iwai et al.				
	US-4	6,054,181	Nanbu et al.				
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

Foreign Patent Documents							Translation	
Document Number	Date	Country	Class	Subclass	Yes	No		
F-1	EP 0 582 019 A1	EPO						
F-2								
F-3								
F-4								
F-5								

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
OT-1	
OT-2	
OT-3	

Examiner	Date Considered
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.